

PTO/SB/C1 (10-01)

Approved for use through 10/31/2002. OMB 081-0032

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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐

Declaration
Submitted
with Initial
Filing

OR

☒

Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number

PRINC-048A

First Named Inventor

T. McConnell et al.

COMPLETE IF KNOWN

Application Number

10/136,550

Filing Date

05/01/2002

Art Unit

Unknown

Examiner Name

Unknown

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**BABY WIPES FOR MAINTAINING MOISTURE AND COLORATION OF BABY WIPES
CONTAINED THEREIN**

(Title of the invention)

the specification of which

☐

is attached hereto

OR

☒

was filed on (MM/DD/YYYY)

05/01/2002

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/Q25 attached hereto:

(Page 1 of 2)

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/01 (10-01)

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DECLARATION — Utility or Design Patent ApplicationDirect all correspondence to ☐ Customer Number OR ☒ Correspondence address belowKit M. Stetina, Esq.
STETINA BRUNDA GARRED & BRUCKER
Name

Address 75 Enterprise, Suite 250

Aliso Viejo California 92656
City State ZIPUnited States (949) 855-1246 (949) 855-8371
Country Telephone Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR : ☐ A petition has been filed for this unsigned inventorGiven Name THOMAS E. Family Name McCONNELL
(first and middle (if any)) or SurnameInventor's
Signature 

Date

Santa Ynez California United States United States
Residence: City State Country Citizenship

Mailing Address 1568 Calzada Avenue

Santa Ynez California 93460 United States
City State ZIP CountryNAME OF SECOND INVENTOR: ☐ A petition has been filed for this unsigned inventorGiven Name FRANCOIS Family Name HACQUARD
(first and middle (if any)) or SurnameInventor's
Signature 

Date

Los Angeles California United States French
Residence: City State Country Citizenship

Mailing Address 756 Quail Drive

Los Angeles California 90065 United States
City State ZIP Country☐ Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

PTO/SB/02A (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page ___ of ___

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name MICHAEL E.		Family Name or Surname HENLEY	
Inventor's Signature <i>[Signature]</i>		Date 8/6/02	
Residence: City Santa Ynez	State California	Country United States	Citizenship United States
Mailing Address 3211 Long Valley Road			
City Santa Ynez	State California	ZIP 93460	Country United States
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name GARY L.		Family Name or Surname SMITH	
Inventor's Signature <i>[Signature]</i>		Date 8/6/02	
Residence: City Arroyo Grande	State California	Country United States	Citizenship United States
Mailing Address 2855 Kip Lane			
City Arroyo Grande	State California	ZIP 93420	Country United States
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box ☐

PTO/SB/01 (11-00)

Approved for use through 6/30/98. CMB 0651-0035
 Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT, NOT ACCOMPANYING APPLICATION	Application Number	10/136,550
	Filing Date	May 1, 2002
	First Named Inventor	Thomas E. McConnell et al.
	Group Art Unit	Unknown
	Examiner Name	Unknown
	Attorney Docket Number	PRINC-046A

I hereby appoint:

☒ Practitioners at Customer Number 007663
 Attention: Kit M. Stelina, Esq.

Place Customer Number Bar
 Code Label here

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number: Attention: [attorney name]

OR

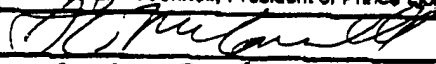
<input checked="" type="checkbox"/> Firm or Individual Name	Kit M. Stelina, Esq.				
Address	STETINA BRUNDA GARRED & BRUCKER				
Address	75 Enterprise, Suite 250				
City	Aliso Viejo	State	California	ZIP	92656
Country	United States				
Telephone	(949) 856-1246	Fax	(949) 856-6371		

I am the:

☐ Applicant.

☒ Assignee of record of the entire interest
 Certificate under 37 CFR 3.73(b) is enclosed

SIGNATURE OF Applicant or Assignee of Record

Name	Thomas E. McConnell, President of Prince Lionheart, Inc.
Signature	
Date	AUGUST 6, 2002

SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)Applicants: Thomas E. McConnell, Francois Hacquard, Michael E. Henley and Gary L. SmithApplication No.: 10/136,550 Filed: May 1, 2002For: **BABY WIPES WARMER FOR MAINTAINING MOISTURE AND COLORATION OF
BABY WIPES CONTAINED THEREIN**Prince Lionheart, Inc., a California corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: AUGUST 6, 2002Name: Thomas E. McConnellTitle: President - Prince Lionheart, Inc.Signature: 

\\Client\Documents\PRINC\046a\3.73 certificate.wpd